

IPW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that the enclosed correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: March 9, 2005 Name: John F. Nethery Signature: [Signature]

**BRINKS
HOFFER
GILSON
& LIONE**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: **McGIFFIN, et al.**

Appln. No.: **10/783,841**

Filed: **February 20, 2004**

For: **ACCOUNT LEVEL PARTICIPATION FOR
UNDERWRITING COMPONENTS**

Attorney Docket No: **10022/444**

Examiner: **Not Assigned**

Art Unit: **3624**

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

☒ Transmittal (in duplicate); Statement Under 37 CFR 3.73(b) with attachment; and Power of Attorney (2 pages);

☒ Return Receipt Postcard

Fee calculation:

☐ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(_____).

☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

☐ A check in the amount of \$_____ is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

9-MAR-2005
Date

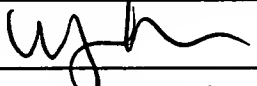
Respectfully submitted,

[Signature]
John F. Nethery (Reg. No. 42,928)



Power of Attorney, Change of Correspondence Address, and Revocation of Previous Powers, If Any	Application Number	10/783,841
	Filing Date	2/20/04
	First Named Inventor	McGiffin
	Art Unit	3624
	Examiner Name	
	Attorney Docket Number	10022-444

I hereby revoke all previous powers of attorney, if any, given for the application or patent specified above.
I hereby appoint the practitioners associated with the Customer Number 28164 as our practitioners to prosecute the patent or patent application specified above, and to transact all related business in the United States Patent & Trademark Office.
Please recognize and change the correspondence address for the application or patent specified above to the address associated with Customer Number: 28164
The undersigned is authorized to act on behalf of Accenture Global Services GmbH , the Assignee of record of the entire right, title, and interest in the application or patent specified above. A statement under 37 CFR 3.73(b) will be attached to establish Assignee's ownership.
The undersigned duly authorized representative of Accenture Global Services GmbH also authorizes and empowers the practitioners associated with Customer Number 28164 to accept and follow instructions from Wayne P. Sobon, John F. Rollins, Vicki St. John, Paul A. Roberts, Michael B. Chernoff, or Thomas Kretschmer, attorneys of Accenture Global Services GmbH , as to any action to be taken in the United States Patent & Trademark Office regarding the application or patent specified above, without conferring with any other representative of Accenture Global Services GmbH , and to execute a Statement Under 37 CFR 3.73(b) on behalf of Accenture Global Services GmbH to establish its right to take action as an assignee for the above-identified patent application.

SIGNATURE of Authorized Representative of Accenture Global Services GmbH
Name: Wayne P. Sobon Title: Manager and Director of Intellectual Property Authorized to sign on behalf of Accenture Global Services GmbH, by the attached Corporate Certificate.
Signature: 
Date: 2/15/05
NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.*
<input checked="" type="checkbox"/> *A total of <u>1</u> form(s) is/are submitted.



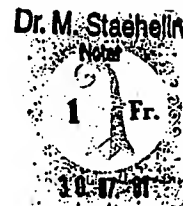
Corporation Certificate

I hereby certify that **Accenture Global Services GmbH** of 27 Stadthausgasse, Schaffhausen, Switzerland, is a limited liability company organized as judicial person under the laws of Switzerland and that since January 30 (thirtieth), 2001 (two thousand and one) **Wayne P. Sobon**, citizen of the United States, residing in Palo Alto (CA), is a lawful representative of said judicial person and is authorized to execute any legal document on behalf of said judicial person in particular in all Trademark, Patent and other Intellectual property related matters.

Basel, this 30th (thirtieth) of July 2001 (two thousand and one)

M. Staehelin, Notar

Dr. Matthias Staehelin, notary public



Allgem. Prot. No. 42/2001



CHAIN OF TITLE STATEMENT UNDER 37 CFR 3.73(b)

Inventor(s): Gail Ellen McGiffin, Jason Andrew Birdsell, Jeffery Richard Rauch, Devdas Nandan, Patrick J. Corless

Serial No.: 10/783,841 Patent No.: _____ Case No.: 10022/444 Filing Date: February 20, 2004

Title: ACCOUNT LEVEL PARTICIPATION FOR UNDERWRITING COMPONENTS

Assignee: Accenture Global Services GmbH, a corporation

Accenture Global Services GmbH is:

1. ☒ the assignee of the entire right, title and interest; or
2. ☐ a co-assignee of an entire and undivided interest;

in the patent or patent application identified above by virtue of either:

☒ Assignments from every inventor to Accenture Global Services GmbH, copies of which are attached;
OR

☐ a chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the Patent and Trademark Office at Reel _____,
Frame _____, or for which a copy thereof is attached.

☐ Citations to additional recorded documents in the chain of title or copies of additional assignments or other pertinent documents are attached.

The undersigned attorney is registered with the United States Patent and Trademark Office and is empowered to sign this Statement on behalf of Accenture Global Services GmbH.

Attorney for Assignee Accenture Global Services GmbH

9-MAR-2005
Date

John F. Nethery
Signature
John F. Nethery
Typed or Printed Name
42,928
Attorney Registration Number

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Gail E. McGiffin, Jason A. Birdsell, Jeffrey R. Rauch, Devdas Nandan, and Patrick J. Corless (hereinafter referred to as the "Assignors"), respectively residing at Gail E. McGiffin, 6 Druid Hill Road, Summit, NJ 07901; Jason A. Birdsell, 608 Cheswold Court, Wayne, PA 19087; Jeffrey R. Rauch, 724 Paxon Hollow Rd., Broomall, PA 19008; Devdas Nandan, 1008 Hunters Glen Drive, Plainsboro, NJ 08536; and Patrick J. Corless, 10 Moccasin Court, Mahwah, NJ 07430, witnesseth:

WHEREAS, said Assignors have made the invention(s) described in the United States patent application entitled ACCOUNT LEVEL PARTICIPATION FOR UNDERWRITING COMPONENTS, which was filed on February 20, 2004, which bears Serial No. 10/783,841, or which has an oath or declaration executed by each Assignor on the same date as the Assignor's signature for this Assignment; and

WHEREAS said Assignors have obligations with one or more Country Companies within the Accenture Organization (comprising Accenture Limited, Accenture SCA and their subsidiaries) to assign inventions to such Country Companies and/or other entities as such Country Companies designate; and

WHEREAS each such Country Company within the Accenture Organization has entered into contractual arrangements pursuant to an Intellectual Property Services Agreement with Accenture Global Services GmbH, a limited liability company duly organized under and pursuant to the laws of Switzerland as a judicial person, and having a place of business at Geschäftshaus Herrenacker 15, 8200 Schaffhausen, Switzerland (hereinafter referred to as either "AGS" or the "Assignee"), to authorize immediate assignment or to immediately assign to AGS all inventions, patent applications, Letters Patent or Patents and other intellectual property developed by such Country Company and its employees, agents, consultants and others; and

WHEREAS, pursuant to these arrangements AGS is desirous of acquiring the entire right, title and interest in and to said inventions, said application for Letters Patent, all corresponding patent applications, and in and to any Letters Patent or Patents obtained therefore or thereon in the United States or in any other country;

NOW, THEREFORE, for good, valuable and legally sufficient consideration for each Assignor, the receipt of which is hereby acknowledged by all Assignors, said Assignors have sold, assigned, transferred and set over, and as demonstrated by this Assignment do sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in and to the above-mentioned inventions, applications for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor or thereon, and in and to any and all divisions, continuations and continuations-in-part of any such application, or reissues, reexaminations, renewals and extensions of said Letters Patent or Patents; and the full right to claim for any such applications all benefits and priority rights under any applicable convention, treaty or legislation, and the right to sue and obtain relief, including damages, profits and an injunction, for any infringement occurring before or after issuance of said Letters Patent or Patents; the same to be held and enjoyed for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors had this Assignment not been made.

For the above-recited consideration, each of said Assignors hereby covenants, warrants and agrees that, at the time of execution and delivery of this Assignment, (s)he is a lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

For the above-recited consideration, each of said Assignors hereby covenants and agrees to execute and deliver to the Assignee, its successors, legal representatives or assigns, all papers and documents that may be required to carry out the terms of this Assignment, and take all lawful oaths, provide testimony, and do all lawful acts necessary or required to be done to reasonably assist said Assignee, its successors, legal representatives or assigns in any interference, litigation or other proceeding in any country concerning or in connection with any inventions, patent applications, Letters Patent or Patents assigned hereunder; or for the prosecution, procurement,

maintenance, enforcement and defense of Letters Patent or Patents for said inventions and patent applications, or to carry out the terms of this Assignment, or vest in the Assignee, its successors and assigns, exclusive title in and to all inventions, patent applications, Letters Patent or Patents assigned hereunder, without further compensation from said Assignee, its successors, legal representatives or assigns, except for reasonable costs and expenses of the Assignor incident to such assistance and approved in writing by said Assignee, its successors, legal representatives or assigns before any such costs or expenses are incurred.

Said Assignors hereby authorize and request the Commissioner of Patents to issue said Letters Patent or Patents of the United States to AGS as the Assignee of said inventions, patent applications and the Letters Patent or Patents to be issued thereon for the sole use of AGS, its successors and assigns in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this Assignment.

<u>9/2/04</u> Date	<u>Gail E. McGiffin</u> Gail E. McGiffin
<u> </u> Date	<u>Jason A. Birdsell</u> Jason A. Birdsell
<u> </u> Date	<u>Jeffrey R. Rauch</u> Jeffrey R. Rauch
<u> </u> Date	<u>Devdas Nandan</u> Devdas Nandan
<u> </u> Date	<u>Patrick J. Corless</u> Patrick J. Corless

ASSIGNMENT JOINT

THIS ASSIGNMENT, by Gail E. McGiffin, Jason A. Birdsell, Jeffrey R. Rauch, Devdas Nandan, and Patrick J. Corless (hereinafter referred to as the "Assignors"), respectively residing at Gail E. McGiffin, 6 Druid Hill Road, Summit, NJ 07901; Jason A. Birdsell, 608 Cheswold Court, Wayne, PA 19087; Jeffrey R. Rauch, 724 Paxon Hollow Rd., Broomall, PA 19008; Devdas Nandan, 1008 Hunters Glen Drive, Plainsboro, NJ 08536; and Patrick J. Corless, 10 Moccasin Court, Mahwah, NJ 07430, witnesseth:

WHEREAS, said Assignors have made the invention(s) described in the United States patent application entitled ACCOUNT LEVEL PARTICIPATION FOR UNDERWRITING COMPONENTS, which was filed on February 20, 2004, which bears Serial No. 10/783,841, or which has an oath or declaration executed by each Assignor on the same date as the Assignor's signature for this Assignment; and

WHEREAS said Assignors have obligations with one or more Country Companies within the Accenture Organization (comprising Accenture Limited, Accenture SCA and their subsidiaries) to assign inventions to such Country Companies and/or other entities as such Country Companies designate; and

WHEREAS each such Country Company within the Accenture Organization has entered into contractual arrangements pursuant to an Intellectual Property Services Agreement with Accenture Global Services GmbH, a limited liability company duly organized under and pursuant to the laws of Switzerland as a judicial person, and having a place of business at Geschäftshaus Herrenacker 15, 8200 Schaffhausen, Switzerland (hereinafter referred to as either "AGS" or the "Assignee"), to authorize immediate assignment or to immediately assign to AGS all inventions, patent applications, Letters Patent or Patents and other intellectual property developed by such Country Company and its employees, agents, consultants and others; and

WHEREAS, pursuant to these arrangements AGS is desirous of acquiring the entire right, title and interest in and to said inventions, said application for Letters Patent, all corresponding patent applications, and in and to any Letters Patent or Patents obtained therefore or thereon in the United States or in any other country;

NOW, THEREFORE, for good, valuable and legally sufficient consideration for each Assignor, the receipt of which is hereby acknowledged by all Assignors, said Assignors have sold, assigned, transferred and set over, and as demonstrated by this Assignment do sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in and to the above-mentioned inventions, applications for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor or thereon, and in and to any and all divisions, continuations and continuations-in-part of any such application, or reissues, reexaminations, renewals and extensions of said Letters Patent or Patents; and the full right to claim for any such applications all benefits and priority rights under any applicable convention, treaty or legislation, and the right to sue and obtain relief, including damages, profits and an injunction, for any infringement occurring before or after issuance of said Letters Patent or Patents; the same to be held and enjoyed for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors had this Assignment not been made.

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maintenance, enforcement and defense of Letters Patent or Patents for said inventions and patent applications, or to carry out the terms of this Assignment, or vest in the Assignee, its successors and assigns, exclusive title in and to all inventions, patent applications, Letters Patent or Patents assigned hereunder, without further compensation from said Assignee, its successors, legal representatives or assigns, except for reasonable costs and expenses of the Assignor incident to such assistance and approved in writing by said Assignee, its successors, legal representatives or assigns before any such costs or expenses are incurred.

Said Assignors hereby authorize and request the Commissioner of Patents to issue said Letters Patent or Patents of the United States to AGS as the Assignee of said inventions, patent applications and the Letters Patent or Patents to be issued thereon for the sole use of AGS, its successors and assigns in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this Assignment.

Date

Gall E. McGiffin

Date

09/02/04

Jason A. Birdsell

Date

Jeffrey R. Rauch

Date

Devdas Nandan

Date

Patrick J. Corless

ASSIGNMENT JOINT

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WHEREAS, pursuant to these arrangements AGS is desirous of acquiring the entire right, title and interest in and to said inventions, said application for Letters Patent, all corresponding patent applications, and in and to any Letters Patent or Patents obtained therefore or thereon in the United States or in any other country;

NOW, THEREFORE, for good, valuable and legally sufficient consideration for each Assignor, the receipt of which is hereby acknowledged by all Assignors, said Assignors have sold, assigned, transferred and set over, and as demonstrated by this Assignment do sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in and to the above-mentioned inventions, applications for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor or thereon, and in and to any and all divisions, continuations and continuations-in-part of any such application, or reissues, reexaminations, renewals and extensions of said Letters Patent or Patents; and the full right to claim for any such applications all benefits and priority rights under any applicable convention, treaty or legislation, and the right to sue and obtain relief, including damages, profits and an injunction, for any infringement occurring before or after issuance of said Letters Patent or Patents; the same to be held and enjoyed for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors had this Assignment not been made.

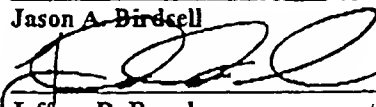
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maintenance, enforcement and defense of Letters Patent or Patents for said inventions and patent applications, or to carry out the terms of this Assignment, or vest in the Assignee, its successors and assigns, exclusive title in and to all inventions, patent applications, Letters Patent or Patents assigned hereunder, without further compensation from said Assignee, its successors, legal representatives or assigns, except for reasonable costs and expenses of the Assignor incident to such assistance and approved in writing by said Assignee, its successors, legal representatives or assigns before any such costs or expenses are incurred.

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<p>_____ Date</p>	<p>_____ Gail E. McGiffin</p>
<p>_____ Date 9/14/04</p>	<p>_____ Jason A. Birdsell  _____ Jeffrey R. Rauch</p>
<p>_____ Date</p>	<p>_____ Devdas Nandan</p>
<p>_____ Date</p>	<p>_____ Patrick J. Corless</p>

ASSIGNMENT JOINT

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WHEREAS each such Country Company within the Accenture Organization has entered into contractual arrangements pursuant to an Intellectual Property Services Agreement with Accenture Global Services GmbH, a limited liability company duly organized under and pursuant to the laws of Switzerland as a judicial person, and having a place of business at Geschäftshaus Herrenacker 15, 8200 Schaffhausen, Switzerland (hereinafter referred to as either "AGS" or the "Assignee"), to authorize immediate assignment or to immediately assign to AGS all inventions, patent applications, Letters Patent or Patents and other intellectual property developed by such Country Company and its employees, agents, consultants and others; and

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Date **Gail E. McGiffin**

Date **Jason A. Birdsell**

Date **Jeffrey R. Rauch**

9/2/2004
Date **Devdas Nandan**

Date **Patrick J. Corless**

ASSIGNMENT JOINT

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WHEREAS said Assignors have obligations with one or more Country Companies within the Accenture Organization (comprising Accenture Limited, Accenture SCA and their subsidiaries) to assign inventions to such Country Companies and/or other entities as such Country Companies designate; and

WHEREAS each such Country Company within the Accenture Organization has entered into contractual arrangements pursuant to an Intellectual Property Services Agreement with Accenture Global Services GmbH, a limited liability company duly organized under and pursuant to the laws of Switzerland as a judicial person, and having a place of business at Geschäftshaus Herrenacker 15, 8200 Schaffhausen, Switzerland (hereinafter referred to as either "AGS" or the "Assignee"), to authorize immediate assignment or to immediately assign to AGS all inventions, patent applications, Letters Patent or Patents and other intellectual property developed by such Country Company and its employees, agents, consultants and others; and

WHEREAS, pursuant to these arrangements AGS is desirous of acquiring the entire right, title and interest in and to said inventions, said application for Letters Patent, all corresponding patent applications, and in and to any Letters Patent or Patents obtained therefore or thereon in the United States or in any other country;

NOW, THEREFORE, for good, valuable and legally sufficient consideration for each Assignor, the receipt of which is hereby acknowledged by all Assignors, said Assignors have sold, assigned, transferred and set over, and as demonstrated by this Assignment do sell, assign, transfer and set over unto said Assignee, the entire right, title and interest in and to the above-mentioned inventions, applications for Letters Patent, and any and all Letters Patent or Patents in the United States of America and all foreign countries which may be granted therefor or thereon, and in and to any and all divisions, continuations and continuations-in-part of any such application, or reissues, reexaminations, renewals and extensions of said Letters Patent or Patents; and the full right to claim for any such applications all benefits and priority rights under any applicable convention, treaty or legislation, and the right to sue and obtain relief, including damages, profits and an injunction, for any infringement occurring before or after issuance of said Letters Patent or Patents; the same to be held and enjoyed for the sole and exclusive use and benefit of the Assignee, its successors and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted, as fully and entirely as the same would have been held and enjoyed by the Assignors had this Assignment not been made.

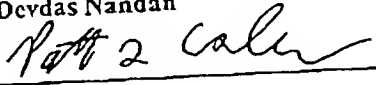
For the above-recited consideration, each of said Assignors hereby covenants, warrants and agrees that, at the time of execution and delivery of this Assignment, (s)he is a lawful owner of the entire right, title and interest in and to said inventions and the application for Letters Patent above-mentioned, and that the same are unencumbered and that the Assignor has good and full right and lawful authority to sell and convey the same in the manner herein set forth.

For the above-recited consideration, each of said Assignors hereby covenants and agrees to execute and deliver to the Assignee, its successors, legal representatives or assigns, all papers and documents that may be required to carry out the terms of this Assignment, and take all lawful oaths, provide testimony, and do all lawful acts necessary or required to be done to reasonably assist said Assignee, its successors, legal representatives or assigns in any interference, litigation or other proceeding in any country concerning or in connection with any inventions, patent applications, Letters Patent or Patents assigned hereunder; or for the prosecution, procurement,

maintenance, enforcement and defense of Letters Patent or Patents for said inventions and patent applications, or to carry out the terms of this Assignment, or vest in the Assignee, its successors and assigns, exclusive title in and to all inventions, patent applications, Letters Patent or Patents assigned hereunder, without further compensation from said Assignee, its successors, legal representatives or assigns, except for reasonable costs and expenses of the Assignor incident to such assistance and approved in writing by said Assignee, its successors, legal representatives or assigns before any such costs or expenses are incurred.

Said Assignors hereby authorize and request the Commissioner of Patents to issue said Letters Patent or Patents of the United States to AGS as the Assignee of said inventions, patent applications and the Letters Patent or Patents to be issued thereon for the sole use of AGS, its successors and assigns in accordance with the terms of this Assignment.

IN TESTIMONY WHEREOF, the Assignors have executed this Assignment.

_____	_____
Date	Gail E. McGiffin
_____	_____
Date	Jason A. Birdsell
_____	_____
Date	Jeffrey R. Rauch
_____	_____
Date	Devdas Nandan
9/2/2004	
Date	Patrick J. Corless